



## CMC Compliance Conference

Marriott Marquis Hotel  
901 Massachusetts Ave, NW  
Washington, DC

### Wednesday, September 17

5:00 - 7:00 pm Welcome Reception - Cuba Libre - 801 9<sup>th</sup> St, NW (Corner of 9<sup>th</sup> & H St)

### Thursday, September 18

7:30 - 8:30 am *Breakfast at your leisure*

8:30 - 8:45 am Welcome - Gregg Doud, CMC President

8:45 - 9:30 am Kevin Batteh, CMC General Counsel

9:30 - 10:00 am *Break*

10:00 - 10:45 am Karen Wuertz, Senior VP, National Futures Association  
Dale Spoljaric, Managing Director, Compliance, National Futures Association

10:45 - 11:45 am CFTC Key Staff Panel  
Clark Ogilvie, Chief of Staff to Chairman Massad  
Jason Goggins, Chief of Staff to Commissioner Giancarlo  
Ted Serafini, of Counsel to Commissioner Wetjen

Noon Lunch Speaker, Mark Wetjen, CFTC Commissioner

1:30 - 2:30 pm Matthew Hunter, Deputy Director, Surveillance Branch, CFTC

2:30 - 3:00 pm Jim Newsome, Founding Partner, Delta Strategy Group

3:00 - 3:30 pm *Break*

3:30 - 4:30 pm "Of Counsel" Panel of CMC Member Law Firms  
David McIndoe - Sutherland, Abill & Brennan LLP  
Steve Humenik - Covington & Burling LLP  
Greg Mocek - Cadwalader, Wickersham & Taft LLP  
Moderator - Kevin Batteh, General Counsel, CMC

4:30–5:30 pm Member Wrap-Up Session  
Jerry Jeske, Group Chief Compliance Counsel, Mercuria Energy Trading  
Gloria Wadsworth, Global Head of Compliance, INTL FCStone Inc.  
Alex Albert, VP Government Relations, ICE  
Robert Sniegowski, Senior Director Market Regulation, CME Group  
Moderator - Gregg Doud, President, CMC

### Friday, September 19

*CFTC, 1155 21st Street, NW, Washington, DC 20581*

10:00 am CMC Meeting with CFTC Commissioner Giancarlo

11:30 am CMC Meeting with CFTC Chairman Massad