



Commodity Markets Council
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CMC REGULATION AND COMPLIANCE CONFERENCE 2016

AGENDA

Monday September 19th

5:30PM **Welcome Reception**
Del Campo
777 I St NW, Washington, DC 20001

Tuesday September 20th

Conference hosted at Covington & Burling
850 Tenth Street NW, Washington, DC 20001

9:00AM **Opening Remarks**
CMC President, Gregg Doud

9:30AM **CFTC Chief of Staff Panel**
Jason Goggins, Chief of Staff, Commissioner Giancarlo
Clark Ogilvie, Chief of Staff, Chairman Massad
Justin Slaughter, Chief Policy Adviser and Special Counsel,
Commissioner Bowen

10:45AM **Break**

11:00AM **Outside Counsel Round-Up**

- **Review of Enforcement Trends**
- **Current Regulatory Issues**
- **CFTC Happenings**
- **Your Questions**

Gary DeWaal, Katten Muchin Rosenman
Steve Humenik, Covington & Burling
David McIndoe, Sutherland Asbill & Brennan
Greg Mocek, Cadwalder, Wickersham & Taft
Kevin Batteh, CMC General Counsel (Moderator)

- 12:30PM** **Lunch**
- Vince McGonagle, CFTC Director of the Division of
Market Oversight**
Speech and Q&A
- 1:30PM** **Building a Compliance Program**
- Brian Lewis, Group Compliance Director, Gunvor, SA
- 2:30PM** **Exchange Surveillance**
Erik Haas, ICE
Tom LaSala, CME
John Pickford, NASDAQ Futures
- 3:15PM** **Break**
- 3:30PM** **Surveillance Solutions: Presentations**
SMARTS
Eventus
First Derivatives
Neurensic
- 5:00PM** **Vendor Showcase**
Visit with vendors one on one
- 5:30PM** **Closing Reception**